

**ICHEIC CLAIMS PROCESS** 2 WEEKS ENDING 07-Jan-05

<b>CLAIMS/INQUIRIES RECEIVED</b>	New claims/inquiries registered since last report (17-Dec-04)	103
	Total claims/inquiries received eligible under the ICHEIC claims process	80,389
	<b>Claims/inquiries distributed to companies</b>	
	Claims/inquiries which name a company (named)	23,300
	Claims/inquiries which do not name a company (unnamed)	54,627
	Claims/inquiries currently being processed by CLMS	384
Claims/inquiries not yet distributed (a)	2,078	

To date, ICHEIC has received 39,496 claims which are ineligible for the ICHEIC claims process (bank claims, slave labour claims, etc.) or are replica claims.

	offers	dollars (in millions)
Offers on ICHEIC claims/inquiries which name a company (named)	3,409	\$59.36
Offers on ICHEIC claims/inquiries which originally did not name a company but where a company has found a match (unnamed)	1,818	\$24.00
<b>Total offers on ICHEIC claims/inquiries</b>	<b>5,227</b>	<b>\$83.36</b>
Offers made by companies on claims not submitted through ICHEIC, but using ICHEIC Valuation Guidelines	750	\$14.15
<b>Total offers made using ICHEIC Valuation Guidelines</b>	<b>5,977</b>	<b>\$97.51</b>
Offers made to claimants through the ICHEIC humanitarian claims process	16,179	\$16.18
<b>Total offers resulting from ICHEIC claims processes (company review/humanitarian)</b>	<b>22,156</b>	<b>\$113.69</b>

**CLAIMS/INQUIRIES WHICH NAME A COMPANY**

	# sent to companies	offers (b)	offers \$	declines	outstanding (c)
Generali Group	3448	617	\$9,839,601	613	2218
Generali Trust Fund (d)	3176	1103	\$28,598,986	1890	183
Allianz Group	1038	140	\$1,045,871	712	186
Allianz RAS	2835	663	\$7,428,065	1762	410
AXA Colonia	208	59	\$276,843	140	9
AXA France	154	18	\$192,581	40	96
Winterthur	54	6	\$49,184	44	4
Zurich	133	26	\$441,069	107	0
<b>MOU Companies subtotal</b>	<b>11046</b>				<b>3106</b>
Sent to companies operating under the GDV/German Foundation Agreement (h)	4551				3264
Decisions by non ICHEIC-Member German companies		360	\$5,823,919	766	
Decisions by the GDV on blocked account and related cases (i)		145	\$1,094,296		
<b>GDV subtotal</b>	<b>4551</b>				<b>3264</b>
Claims Resolution Tribunal (e)	60	0	\$0	1	59
Sjoa Foundation (f)	87	5	\$118,034	47	35
Buyse Commission (g)	73	0	\$0	1	72
General Settlement Fund (k)	2995	0	\$0	0	2995
<b>Other Organisations subtotal</b>	<b>3215</b>				<b>3115</b>
<b>Non MOU (l)</b>	<b>4488</b>	<b>267</b>	<b>\$4,451,022</b>	<b>231</b>	<b>3990</b>
<b>totals</b>		<b>3409</b>	<b>\$59,359,470</b>	<b>6354</b>	

**CLAIMS/INQUIRIES WHICH DID NOT NAME A COMPANY, BUT HAVE BEEN MATCHED (j)**

	unnamed claims where a match was found	offers (b)	offer \$	declines	<b>REMAINING UNNAMED CLAIMS</b>
Generali Group	255	188	\$1,958,648	67	
Generali Trust Fund (d)	1437	764	\$16,328,320	673	
Allianz Group	454	221	\$1,338,334	233	
Allianz RAS	59	47	\$567,924	12	
AXA Colonia	251	110	\$637,161	141	
AXA France	6	4	\$11,665	2	
Winterthur	23	13	\$81,384	10	
Zurich	16	7	\$134,235	9	
<b>MOU-Companies subtotal</b>	<b>2501</b>				
Sent to companies operating under the GDV/German Foundation Agreement (h)	487				
Decisions by non ICHEIC-Member German companies (i)		36	\$306,549	45	
Decisions by the GDV on blocked account and related cases		406	\$2,508,909		
<b>GDV subtotal</b>	<b>487</b>				
Claims Resolution Tribunal (e)	0	0	\$0	0	
Sjoa Foundation (f)	77	22	\$130,322	55	
Buyse Commission (g)	0	0	\$0	0	
General Settlement Fund (k)	0	0	\$0	0	
<b>Other Organisations subtotal</b>	<b>77</b>				
<b>total</b>		<b>1,818</b>	<b>\$24,003,452</b>	<b>1,247</b>	

OFFERS ON ICHEIC CLAIMS/INQUIRIES		2 WEEKS ENDING		07-Jan-05	
(in millions)					
Offers paid		4,310		\$67.27	
Total offers outstanding (not paid)		948		\$16.70	
Offers outstanding which are eligible for appeals through the ICHEIC Appeals Office (*123)		423		\$4.09	
Offers outstanding which are eligible for appeal through the Generali Trust Fund appeal process (**)		307		\$9.10	
Offers made on claims submitted to national funds (***) and to companies not member of ICHEIC.		217		\$3.50	

\* The ICHEIC Appeals Office handles appeals made to the Appeals Panel and Appeals Tribunals, as defined in (1) and (2) below.

\*\* Appeals on Generali decisions are handled through the Generali Trust Fund [see (5) below].

\*\*\* Sjoa Foundation (Netherlands), Buysse Commission (Belgium) and the Claims Resolution Tribunal (Switzerland).

## ICHEIC APPEALS REPORT

SUMMARY		2 WEEKS ENDING				07-Jan-05	
	New Appeals	Appeals Panel (1)	Appeals Tribunal (2)	Generali Trust Fund (6)	Total to Date		
Appeals received	7	289	362		651		
Appeals received on company offers (4)		55	15		70		
Value of company offers made which are being appealed		\$615,271.11	\$99,012.50		\$714,283.61		
Company declines made					7266		
Appeals received on company declines		234	347		581		
Appeals dismissed		136	177		313		
Awards made (7)		22	140		162		
Offer accepted or appeal withdrawn after an appeal form has been received (5)		18	16		34		
Value of appeals awards		\$174,041.31	\$2,096,279.04		\$2,270,320.35		
Appeals pending		113	29		142		

APPEALS BY COMPANY HEARD BY THE APPEALS TRIBUNAL											07-Jan-05	
	New Appeals This Period	Appeals on Offers	Value of Appealed Offers	Appeals Made on Declines	Offer Accepted or Appeal Withdrawn after Appeal Form Received	Appeals Pending	Appeals Dismissed	Awards Made	Value of Awards Made	Total Appeals		
Allianz Group		1	\$10,006.00	9		6	2	2	\$17,072.94	10		
Allianz RAS	1	8	\$75,347.96	266	7	16	129	122	\$1,903,941.16	274		
AXA France		2	\$4,696.00	7	2	1	5	1	\$2,942.07	9		
AXA Colonia		2	\$4,302.69	25	3		20	4	\$46,110.68	27		
AXA Belgium				2		1	1			2		
Generali (6)				1			1			1		
Winterthur	1	1	\$4,100.00	6		5	2			7		
Zurich		1	\$559.85	31	4		17	11	\$126,212.19	32		
Totals	2	15	\$99,012.50	347	16	29	177	140	\$2,096,279.04	362		

APPEALS BY COMPANY HEARD BY THE APPEALS PANEL											07-Jan-05	
	New Appeals This Period	Appeals on Offers	Value of Appealed Offers	Appeals Made on Declines	Offer Accepted or Appeal Withdrawn after Appeal Form Received	Appeals Pending	Appeals Dismissed	Awards Made	Value of Awards Made	Total Appeals		
Allianz Group	1	22	\$168,218.43	48	13	32	25			70		
Allianz RAS		9	\$325,868.51	67	1	24	34	17	\$135,087.75	76		
AXA Colonia		4	\$22,844.64	10		7	7			14		
GDV (8)	3	9	\$37,000.00	4		7	6			13		
GDV (9)	1	11	\$61,339.53	101	4	41	62	5	\$38,953.56	112		
Generali (6)												
Winterthur				3		2	1			3		
Zurich				1			1			1		
Totals	5	55	\$615,271.11	234	18	113	136	22	\$174,041.31	289		

ICHEIC statistics shift in relation to claims processing phenomena, including the following:

1. ICHEIC has occasionally revised its number of eligible claims downward due to the ongoing identification of claims that fall outside of ICHEIC's mission, e.g., non-Holocaust-era claims, claims which - despite efforts to obtain additional information from claimants - do not contain enough information to enable complete processing, as well as replica (duplicate) claims.
2. A small number of new claims will continue to be registered as a result of ICHEIC matching exercises.
3. Claims occasionally move within the ICHEIC process due to ongoing efforts to ensure that claimants are given the best possible opportunity to have their claim(s) considered. Examples include claims originally assigned to companies or processing entities that were subsequently determined not to cover the particular portfolios or geographic regions upon which the claims were based. While some claims have been transferred to other companies participating in the ICHEIC process, others have been cycled into ICHEIC's 8A2 humanitarian claims process.
4. After the publication of the 17 September 2004 Statistical Report, ICHEIC discovered a fault in the software that generates the ICHEIC Statistical Report. In order to ensure consistent reporting, ICHEIC modified this software. Generation of the regular Statistical Report was delayed while these necessary modifications were made, and the first report generated using the modified software reflected several one-off shifts as a result. Going forward, the modified software will generate consistently accurate statistical information.

### Notes on the Claims Section

- (a) This figure includes claims/inquiries on Eastern European nationalised companies which will be evaluated under the ICHEIC humanitarian process.
- (b) Awards on appeals are not included herein. These figures are reported separately.
- (c) Decisions on some of the claims included here cannot be made until the GDV verifies that there was no former compensation paid.
- (d) The Generali Trust Fund is the implementing organisation for claims received by ICHEIC which (1) name Generali or (2) state that the policy was bought in a country where Generali operated.
- (e) The Claims Resolution Tribunal (CTR), located in Switzerland, is responsible for processing a number of Swiss claims. As of 09 February 2004, the CRT have sent 690 named and unnamed claims/inquiries to be researched by their member companies.
- (f) The Sjoa Foundation, located in the Netherlands, processes claims on behalf of Dutch insurers.
- (g) The Buysse Commission, located in Belgium, processes claims on behalf of Belgian insurers. Note that the ICHEIC's agreement with the Buysse Commission was signed in June of 2003.
- (h) Offers made by ICHEIC Member companies on claims listed as "sent" here are reflected above as offers by the ICHEIC Member companies which are covered under ICHEIC's agreement with the German Insurance Association (GDV)/German Foundation. \* ICHEIC Member Companies covered under ICHEIC's agreement with the GDV/German Foundation are marked with a star(\*)
- (i) Blocked account cases are paid and processed directly by the German Insurance Association (GDV). A blocked account is defined as a bank account from which the owner was constrained in withdrawing the proceeds due to the legal restrictions on the account imposed by the German National Socialist Regime and other relevant governments.
- (j) Claims that have been matched by companies against their records and/or other sources.
- (k) The General Settlement Fund, located in Austria, processes claims on behalf of Austrian insurers. The GSF is not due to make any offers on claims until all claims have been researched and decided.
- (l) For 4505 of these claims no successor company could be traced and they therefore have been submitted for examination of a possible humanitarian award. 158 offers out of the humanitarian fund have been awarded with a total value of \$2,379,792.01. 185 had to be denied because of insufficient evidence.

### Notes on the Appeals Section

- (1) The Appeals Panel considers appeals on decisions from German insurance companies and the German subsidiaries of non German companies as well as those against Allianz and Allianz RAS which were dated after 16 October 2002.
- (2) The Appeals Tribunal considers appeals on decisions from ICHEIC member companies AXA, Winterthur, and Zurich as well as those against Allianz and Allianz RAS which were dated prior to 16 October 2002.
- (3) Upon receipt of a final decision claimants have 120 days to file an appeal
- (4) This excludes offers that claimants have accepted.
- (5) These totals include cases where, subsequent to submitting an appeal form, the appellant either accepts the original offer or withdraws the appeal. These totals are not included in the number of appeals dismissed nor in the number of awards made.
- (6) Appeals on Generali decisions are considered by the Generali Trust Fund (GTF), not the ICHEIC Appeal Office. The ICHEIC Appeals Office received 1 appeal form against a Generali decision; however, the GTF has decided this appeal. ICHEIC is working with the GTF to be able to include further GTF appeals statistics in this report.
- (7) The GTF makes a distinction between appeals that are accepted/allowed and appeals where an award is made. Thus far, these GTF appeals fall into the former category.
- (8) This refers to blocked account cases.
- (9) This refers to other non MOU companies in the GDV.